Design of Hybrid Airfoils for Icing Tunnel Tests Based on Reduced-Order Modeling Methods

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Abstract

A hybrid airfoil is a scaled model used to generate a full-scale ice shape for icing wind tunnel tests. This is possible by matching full-scale properties such as the distributions of collection efficiency and heat transfer coefficient. Previous studies have used indirect methods using full-scale stagnation point location or tangent droplet trajectories. Therefore, these methods can cause a discrepancy between the full-scale and hybrid airfoil ice shapes under glaze ice conditions; this is because it is difficult to accurately match the distributions of full-scale collection efficiency and heat transfer coefficient. To improve accuracy, this paper proposes an approach to match the distributions of the full-scale collection efficiency and heat transfer coefficient on the leading edge, using a viscous turbulent CFD-icing simulation. For computational efficiency, reduced-order modeling (ROM) based optimization was used to match the distributions. The optimization design process was applied to the glaze ice condition with a high LWC and temperature. It is concluded that matching the distribution of the heat transfer coefficient is highly recommended to minimize the discrepancy between both ice shapes to obtain more reliable results from icing tunnel tests under the glaze ice condition. Further, by allowing separation, the hybrid airfoil achieves a more compact size than that designed without separation. Finally, a hybrid airfoil flap geometry, which can be applied to various angles of attack, was designed using the optimization design process. The ice shape of the hybrid airfoil with the flap yields good agreement with the full-scale ice shape.

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Nomenclature

c_0	=	full-scale airfoil chord, m
c_{hybrid}	=	hybrid airfoil chord, m
h_c	=	heat transfer coefficient, $W/m^2 \cdot K$
LWC	=	liquid water content, g/m^3
MVD	=	median volumetric droplet diameter, μm
P	=	pressure, Pa
P_∞	=	freestream pressure, Pa
SF	=	hybrid scale factor, c_0/c_{hybrid}
T_∞	=	freestream static temperature, K
U_∞	=	freestream velocity, m/s
V	=	reconstruct vector
α	=	angle of attack, deg
α_j	=	POD coefficients
φ_j	=	POD modes
λ_j	=	POD eigenvalues
β	=	collection efficiency
δ	=	flap deflection angle, deg

I. Introduction

The Federal Aviation Administration (FAA) [1,2] provides aircraft certification regulations to certify aircraft airworthiness in icing conditions, as defined by the so-called icing envelope in the FAA's Federal Airworthiness Regulations (FAR) Part 25, Appendix C. All new aircraft must be designed and certified to demonstrate that it can fly safely for 45 min [3] in the icing envelope. The icing wind tunnel is one of the most important means of compliance to support the aircraft icing certification process and an attractive industry method owing to its capability to reproduce a controlled test matrix of icing conditions, which is relatively less expensive than icing flights.
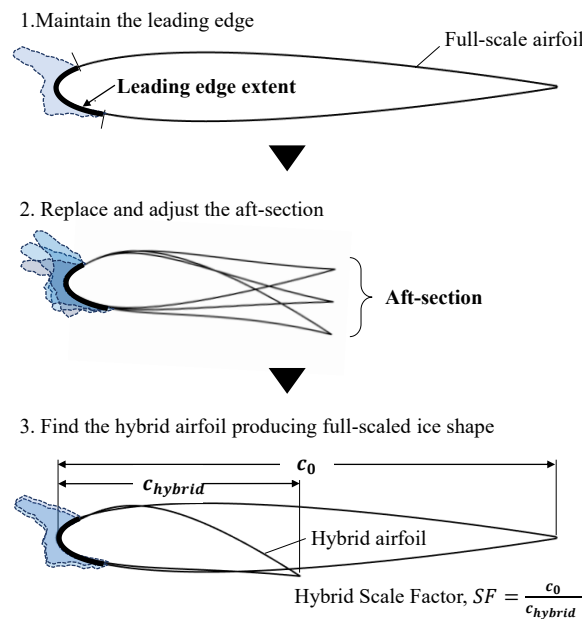
However, icing wind tunnels have an inherent limitation whereby the size of the aircraft model is limited by the size of the test section of the existing facility. In particular, the wings of commercial transportation, which require actual certification, are several meters in size, impeding their testing in existing test sections. Therefore, proper scaling is required to test large wings in an existing icing wind tunnel. Scaling can be performed in two ways.

First is the geometrical scaling method, which reduces the size of the full-scale airfoil geometrically and adjusts the icing condition to maintain the icing similitude constant. However, it is difficult to use this method in icing tests as there is no complete set of dimensionless parameters that account for the flow field and also heat convection, conduction, evaporation, and other features. [4–9].

The second method is to use a hybrid airfoil, which does not have to consider geometrical similitude. The hybrid airfoil is designed by maintaining the same leading-edge geometry of the full-scale airfoil, where icing occurs, and reducing the aft section without scaling the icing condition, as shown in Fig. 1. The reduced airfoil is called a hybrid airfoil and the hybrid scale factor [10] is defined as the ratio of the full-scale airfoil chord to the hybrid airfoil chord. A hybrid airfoil can generate the same full-scale ice accretion by properly designing an aft section to form a full-scale flow field, droplet impingement, and thermodynamic characteristics in the leading-edge region. The hybrid airfoil is usually susceptible to separation owing to the reduced aft section. To reduce or suppress the separation, flap is sometimes used, and which enables hybrid airfoil to be applied at multiple angles of attack.

In the 1950s, research on hybrid airfoils began by Von Glahn [11], who experimentally demonstrated that a hybrid airfoil with a truncated flap can simulate full-scale ice accretion. Various studies [12–14] have been experimentally and analytically conducted using hybrid models to test the full-scale ice protection system of a wing section. In the late 1990s, Saeed et al. [10] first proposed a numerical design method for hybrid airfoils. Ice

82 usually accretes only on the airfoil leading edge, and hence a hybrid airfoil was designed with the same leading-

83 edge geometry as the full-scale airfoil, where the supercooled water droplets impinge. Then, the aft section was

84 designed to provide full-scale droplet impingement on the leading edge by indirect method matching full-scale

85 tangent droplet trajectories [10]. A flap was also used for the aft section to make a hybrid airfoil possible to be

86 applied at multiple angles of attack [15,16]. The study concluded that ice shapes could be matched by matching

87 only the flow field and droplet impingement between the full-scale and hybrid airfoils; however, they could not

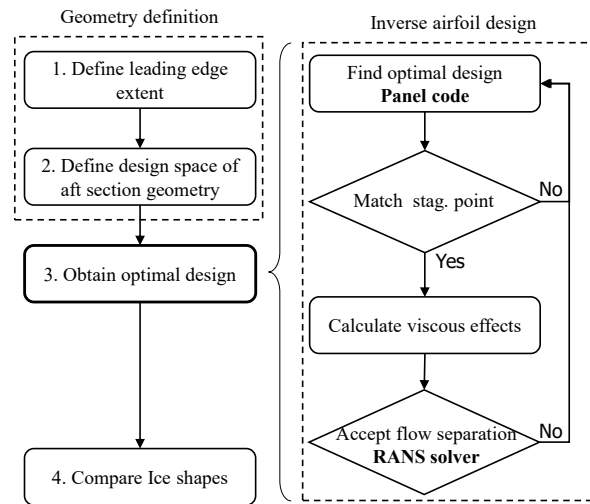88 compare the actual ice accretion due to the absence of an ice accretion analysis tool.

1.Maintain the leading edge

Full-scale airfoil

Leading edge extent

2. Replace and adjust the aft-section

Aft-section

3. Find the hybrid airfoil producing full-scaled ice shape

c_0

c_{hybrid}

Hybrid airfoil

Hybrid Scale Factor, $SF = \frac{c_0}{c_{hybrid}}$

89

90 **Fig. 1 Definition of hybrid airfoil**

91   Recently, by using ice accretion analysis code NASA LEWICE [20], Fujiwara et al. [17–19] presented a novel

92 design process, as shown in Fig. 2, to validate the agreement of ice shapes between full-scale and hybrid airfoils.

93 First, the hybrid airfoil geometry was defined as demonstrated by Saeed et al. [10] and the optimal design of the

94 hybrid airfoil was obtained through the inverse airfoil design method. To that end, the hybrid airfoil was designed

95 to match the full-scale stagnation point location through an iterative process. The hybrid airfoil was then evaluated

96 with a 2-D RANS solver to check for acceptable flow separation, which affects the stagnation point location. This

97 was because LEWICE is based on an inviscid panel code that cannot consider the viscous effects of separation.

98 Finally, by comparing the ice accretions between the full-scale and hybrid airfoils, the study concluded that

99   matching the full-scale stagnation point location is key design parameter for matching the full-scale ice accretion

100   and collection efficiency.

101

102   **Fig. 2 Flow chart of hybrid airfoil design [17-19]**

103   Although the studies [17–19] presented a parameter for hybrid airfoil design to match the full-scale ice accretion,

104   the same limitations remain when reviewing previous hybrid airfoil studies.

105   First, matching only the full-scale droplet trajectories or stagnation point does not accurately match the variation

106   in convective heat transfer which can result from the difference in aft sections. While various energies, such as

107   droplet kinetic energy and evaporation energy, are involved in ice accretion, convective heat transfer has a

108   dominant influence [21]. Therefore, the difference in ice shapes can be caused by inconsistencies in convective

109   heat transfers between the full-scale and hybrid airfoils. In particular, a significant difference in ice shapes (e.g.,

110   ice horn angle and ice horn thickness) may occur in the glaze ice conditions of high liquid water content (LWC)

111   and temperature.

112   Second, the use of the inviscid panel code provided a constraint that separation should not occur on the hybrid

113   airfoil. As explained earlier, the hybrid airfoil has a shorter aft section than the full-scale airfoil, and thus tends to

114   cause separation. Therefore, designing the hybrid airfoil so that separation does not occur is an excessive

115   constraint on the design space. This impedes the design of a more compact hybrid airfoil.

116   Third, there is no general method for designing hybrid airfoil flap geometries. Research on flap reducing

117   separation [17] has mainly been conducted. Although a flap was also used in the hybrid airfoil to generate a full-

118   scale ice shape at multiple angles of attack [18,19], shape parameters — such as flap geometry, main section, gap,

119   and overlap — were set arbitrarily. When the method of designing the flap geometry is not specific, it is difficult

120   to design an optimal flap geometry to achieve an accurate full-scale ice shape.

121   To overcome the limitations of previous studies, this study attempts to provide a general method for designing a

122   compact hybrid airfoil, which can be applied to glaze ice conditions where even LWC and temperature are high

123   in the icing envelope. A novel method is suggested to achieve this goal.

124   First, to consider the accordance of convective heat transfer, the distribution of the full-scale heat transfer

125   coefficient (h_c) is matched. In addition, this study matches the distribution of full-scale collection efficiency (β)

126   directly as well as the full-scale stagnation point used in previous studies. From this, the hybrid airfoil can yield

127   good agreement with the full-scale ice shape by evaluating quantitatively the total difference distributions of

128   collection efficiency (β) and heat transfer coefficient (h_c) between both airfoils. For computational efficiency,

129   reduced-order modeling (ROM)-based optimization is applied to match the distributions. Second, to relax the

130   separation constraint, a viscous turbulent CFD-icing simulation is used instead of an inviscid panel code. From

131   this, variations in the flow field due to separation can be directly reflected in the CFD process without having to

132   check whether the separation occurs in design process. Furthermore, it is possible to design a compact hybrid

133   airfoil by allowing separation. Third, a general method for designing flap geometry is suggested, which can be

134   applied to various angles of attack. The main section and flap geometry are defined using the Bezier curve, and

135   are obtained through an optimization process to generate an accurate full-scaled ice shape.

136   The remainder of this paper is organized as follows: In the next section, the overall optimization design process

137   of the hybrid airfoil is explained. In Section III, a newly suggested methodology is applied for a glaze ice condition,

138   and the results are thoroughly discussed. Finally, a conclusion is presented.

139

II.   Design Optimization Process

141   The hybrid airfoil design process presented in this study is shown in Fig. 3. The design process comprises four

142   main steps, which are similar to the method of Fujiwara et al. [17–19]. Step 1 is to find the points that determine

143   the leading-edge extent of the hybrid airfoil by obtaining the icing limit or impingement limit of the full-scale

144   airfoil. In step 2, the design variables and design space are defined. In step 3, the optimal design of the hybrid

145   airfoil is obtained to generate a full-scale ice shape. Finally, the accuracy between ice shapes of the full-scale and

146   hybrid airfoils are evaluated in step 4, through ice accretion simulation.
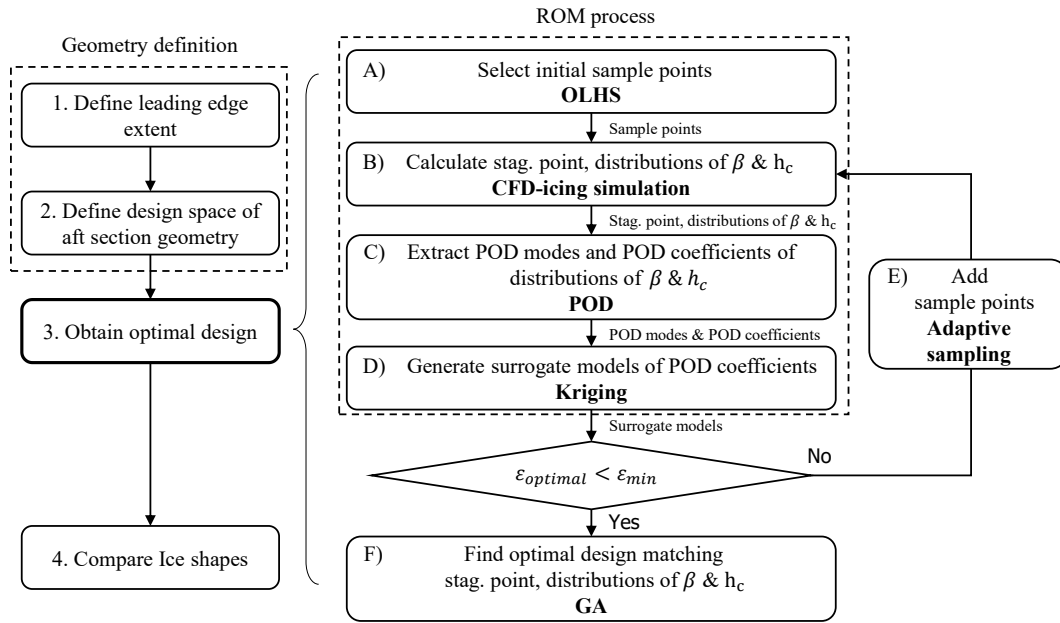
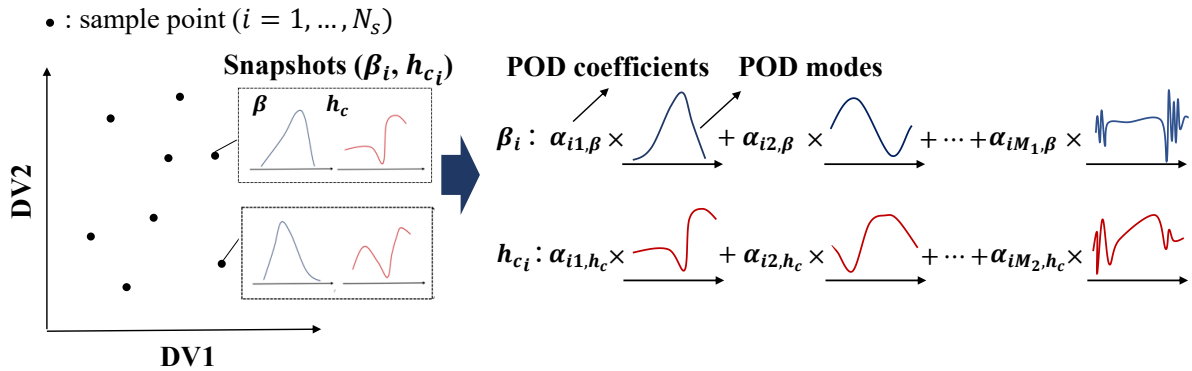**Fig. 3 Flow chart of the optimization design process**

In this study, reduced-order modeling (ROM)-based optimization was used to optimally design a hybrid airfoil, as in step 3. This was chosen for the following reasons: In previous studies [17–19], the method of matching the full-scale stagnation point was used for the hybrid airfoil design. In this study, to consider convective heat transfer, the distribution of the full-scale heat transfer coefficient (h_c) is also matched. In addition, the distribution of full-scale β is directly matched, instead of indirect methods. Therefore, the hybrid airfoil can yield good agreement with the full-scale ice shape by computing the total difference distributions of β and h_c between both airfoils. To obtain the distributions, a viscous turbulent CFD-icing simulation which is a high-fidelity solver, was used. However, the use of high-fidelity in an iterative procedure can be prohibitively expensive. Therefore, a surrogate model that offers a good approximation of the entire solution at reduced computational costs is used. In addition, because the distributions of β and h_c comprise data for the several nodes existing on the leading-edge surface, they are not a single scalar value but a vector that is high-dimensional data. It requires a huge computational cost to generate a surrogate model for each element of the vector directly. For computational efficiency, reduced-order modeling (ROM) is used based on proper orthogonal decomposition (POD) [22].

The ROM approach uses a set of simulation outputs (called snapshots) obtained at inputs in the parametric space to obtain a basis of vectors (also called modes) which optimally spans the simulation's output space. POD is a popular technique for finding the orthonormal basis vectors (also called POD modes), which form the basis on

165 which each snapshot can be expressed as a linear combination. POD also has the advantage of being able to

166 represent most of the information with truncated modes. In this study, the POD modes (φ_j) of β and h_c are

167 extracted and the POD coefficients (α_j) are obtained projecting the snapshots (β and h_c) onto the POD modes.

168 Figure 4 schematically shows that the snapshots can be reconstructed from a combination of POD modes and

169 coefficients when the snapshots (β and h_c) of the sample points ($i = 1, \dots, N_s$) of random design variables 1 and

170 2 are obtained. The reconstructed vector (V) is calculated using Eq. (1):

$$V = \sum_{j=1}^{M<N_p} \alpha_j \varphi_j \tag{1}$$

171 M indicates the minimum number of modes required to indicate the energy content, which is the ratio of the

172 energy required for the total energy, and N_p is the number of nodes that ma

173 ke up the distribution of β and h_c. POD is introduced in detail in [23,24], and POD studies of icing have been

174 extended and applied in [25–28].

175

176 **Fig. 4 Reconstruction of β and h_c by POD modes and POD coefficient**

177 The optimization process using POD-based ROM, as shown in Fig. 3, consists of the following steps: In step A,

178 the initial sample points are selected as the design of experiment (DOE) [27] by optimal Latin hypercube sampling

179 (OLHS) [30]. In step B, a viscous turbulent CFD-icing simulation is used to obtain the stagnation point location

180 and distribution of β and h_c for the selected sample points. In step C, the POD modes and POD coefficients of

181 β and h_c are extracted. In step D, the response surface for the POD coefficients is obtained by kriging [31]. In

182 step E, to improve the accuracy of the ROM, adaptive sampling [32] is used and sample points are added

183 repeatedly until the error between the CFD and ROM prediction satisfies the minimum error. The details of

184   adaptive sampling are provided in the Appendix. Finally, the optimal design of the hybrid airfoil is obtained using

185   a genetic algorithm (GA) to satisfy the error of the stagnation point and distributions of β and h_c between full-

186   scale and hybrid airfoils.

187   In this section, the design variables required to define the hybrid airfoil geometry are presented and the

188   optimization problem is defined by determining the objective functions and constraints. Finally, the CFD icing

189   analysis tools used in this study are briefly presented.
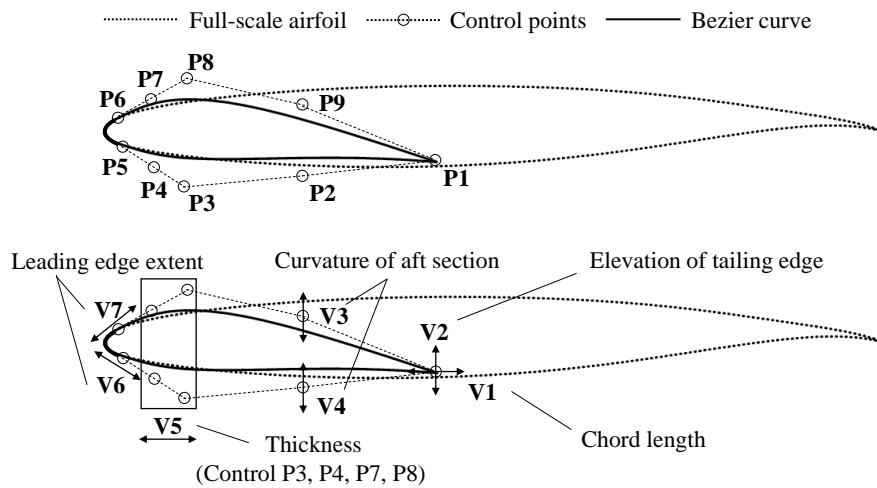
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191   **A.   Geometry definition**

192   In this section, the first step (define leading-edge extent) and the second step (define design space of aft section

193   geometry) of the design process are introduced and the flap geometry is defined.

194   First, the minimum range of the leading-edge extent is determined by calculating the icing limit of the full-scale

195   airfoil via icing analysis. In a previous study [17], the impingement limit was selected to determine the extent of

196   the leading edge. However, because the icing limit is larger than the impingement limit in the glaze ice condition

197   due to water runback, the icing limit is set as the minimum point of the leading-edge extent in this study.

198   The Bezier curve is used to design the aft section, as shown in Fig. 5. The Bezier curves are defined by nine

199   Bezier points ($P_i, i = 1, \dots, 9$) which are adjusted via a total of seven design variables ($V_j, j = 1, \dots, 7$). Only the

200   minimum design variables are intuitively selected to represent the geometrical properties of the hybrid airfoil. The

201   geometrical properties of each design variable are as follows: to define the chord length and the elevation of the

202   tailing edge, V_1 and V_2 are adjusted in the x- and y- directions, respectively. The curvatures of the aft section

203   are controlled by V_3 and V_4, respectively, in the y- direction. The thickness of the hybrid airfoil is mainly defined

204   by V_5, adjusting the movement of P_3, P_4, P_7, and P_8 in the x- direction. Finally, the leading-edge extent is

205   extended by V_6 and V_7, which adjusts P_5 and P_6, respectively, for the upper and lower sides of the hybrid

206   airfoil.

Fig. 5 Hybrid airfoil parameters definition
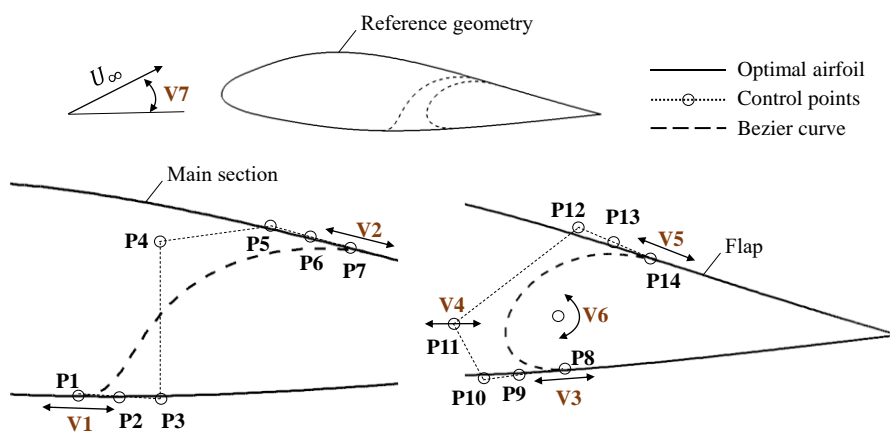
The geometries of the main section and flap are also designed using the Bezier curve, as shown in Fig. 6, and are constructed based on the reference geometry. First, the reference geometry is a single hybrid airfoil designed at an angle of attack of zero, and its leading-edge extent includes all icing ranges of the full-scale airfoil, calculated at the desired angle of attack range. For the main section and flap, 14 Bezier points $(P_i, i = 1, ..., 14)$ and five design variables $(V_j, j = 1, ..., 5)$ are used and the design space is selected to satisfy the continuity and manufacturing characteristics of the flap. The gap and overlap, which are the most important factors in the flap design, and shape of the flap, including the length of the lip and leading-edge location, can be determined through the set design variable for geometry. The flap deflection angle and angle of attack are adjusted by V_6 and V_7. Therefore, a total of seven variables are used in the optimization.

Fig. 6 Main section and flap parameter definition

B. Optimization problem

For the global optimum [33], GA, which has the advantage of being robust in even a complex and nonlinear system, is selected. It is necessary to determine the objective function and constraints when deriving the optimal design. In this study, a major concern in the design of a hybrid airfoil is to find an optimal hybrid airfoil with a compact size, which has the full-scale stagnation point location and distribution of β and h_c. Considering of this issue, one objective function and four constraints are defined. The optimization problems are formulated as follows:

$$\text{minimize} \quad f(x) \ (f(x) \text{ is the chord length}) \tag{2}$$

$$\text{subject to} \quad x \notin \Omega \ (\Omega \text{ is the unsteadiness region}) \tag{3}$$

$$\Delta n \leq 1 \ (\Delta n \text{ is the index difference of stagnation point locations}) \tag{4}$$

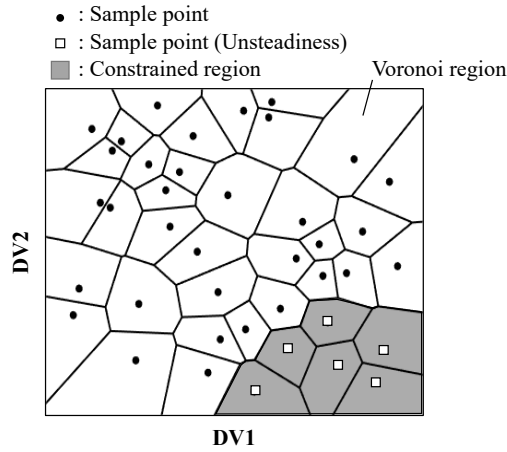$$\varepsilon_{\beta(x)} < \varepsilon_{min,\beta} \tag{5}$$

$$\varepsilon_{h_{(x)}} < \varepsilon_{min,h_c} \tag{6}$$

where

$$\varepsilon = \left. \int_{LE} |U_{full} - V_{hybrid}| \, ds \middle/ \int_{LE} U_{full} ds \right. \ (U, V \in \mathbb{R}^{N_p}) \tag{7}$$

The objective function is to minimize the chord length (denoted as $f(x)$) of the hybrid airfoil while satisfying the constraints. These constraints can be classified into two categories.

The first is that design variables x must not exist in the region where unsteadiness occurs (denoted as Ω) due to separation. This is because unsteadiness affects the boundary layer of the leading edge (if it occurs) and distribution of h_c fluctuates with time; thus, it becomes difficult to numerically predict the shape of ice. In addition, tunnel blockage can be experimentally increased. Therefore, it is necessary to prevent unsteadiness from occurring when separation is allowed. The unsteadiness can be determined by performing a steady flow-field analysis.

Fig. 7 Definition of constrained region through Voronoi tessellation
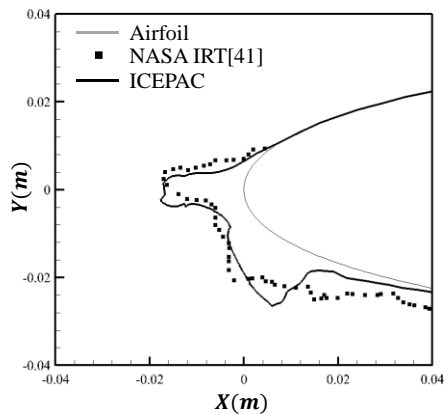
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236

237   In this study, when the CFL number is set to 2 and the flow field is calculated 30,000 times or more, if the residual

238 of density does not decrease to 10^{-4} or if it does not maintain a constant value, unsteadiness is judged to occur.

239 When unsteadiness occurs at sample points, the constrained region is defined through the concept of Voronoi

240 tessellation [34], which is a subdivision of the space into n cells, given sample points $(P_i, i = 1, ..., n)$, by using

241 the Euclidean distance from the points. The Voronoi regions of the sample points where unsteadiness occurs are

242 constrained, if random design variables 1 and 2 are set as shown in Fig. 7. Constrained regions must not be selected

243 in the optimization process or adaptive sampling.

244   The second category of constraints is defined to match the ice shapes between the full-scale and hybrid airfoils.

245 First, the difference index of the stagnation point locations (Δn) between the full-scale and hybrid airfoils is 1 or

246 less. Second, the differences area ($\varepsilon = \dfrac{\int_{LE} |U_{full} - V_{hybrid}| \, ds}{\int_{LE} U_{full} \, ds}$, U: CFD vector, V: reconstruct vector)

247 of the distributions of β and h_c between both airfoils on the leading edge extent (LE) are smaller than the

248 minimum errors ($\varepsilon_{min,\beta}$ and ε_{min,h_c}), respectively. The distributions of β and h_c are calculated by the nodes

249 ($i = 1, ..., N_p$), distributed on the leading-edge extent, which are at the same location for all airfoils.

250

251   **C.   CFD-icing solver**

252 The CFD-icing solver consists of four sequentially coupled modules [33]: aerodynamics, droplet trajectory,

253 thermodynamics, and generation of ice shapes. For the flow field, compressible steady Reynolds-averaged Navier-

254 Stokes equations in KFLOW [38] is used, where the finite volume method (FVM) is used. For the turbulence
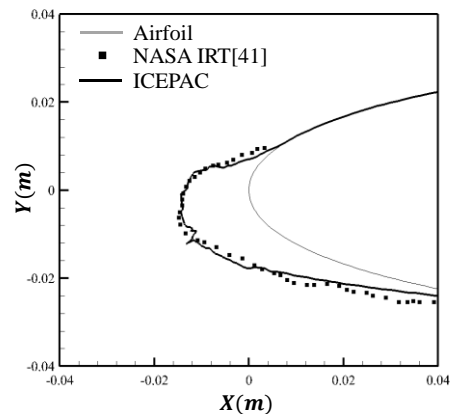
255   model, the modified Spalart-Allmaras model [39], which considers the surface roughness, is selected. Roe's

256   approximate Reimann solver is applied to perform the convective term, and the TVD MUSCL limiter is also

257   applied to improve the accuracy of the flow field. For the icing analysis simulation, the Ice Contour Estimation

258   and Performance Analysis Code (ICEPAC) [33–35] is used. The droplet trajectory and thermodynamic modules

259   are constructed based on all PDEs, and a description of the equations can be found with reference to [35]. The

260   HLLC approximate Riemann solver [40–42] is applied to perform the convective term of the droplet equation.

261   For the thermodynamics module, 1st order upwind is applied for the convective term. The validation of ICEPAC

262   proceeds with reference to the NASA icing tunnel test [43]. Comparisons of the ice shapes between the simulation

263   and experiment for the cases listed in Table 1 are shown in Fig. 8. It is confirmed that the icing solver used in this

264   study accurately predicts the angle of the ice horn and the size of the overall ice shape.

265

Table 1 Validation condition [43]

Case name	A	B	C
$Airfoil$		NACA0012	
$Chord\ length, m$		0.5334	
α, deg		4	
$V_\infty, m/s$		102.8	
$LWC, g/m^3$	0.55	0.55	1.0
$MVD, \mu m$	20	20	20
$Temperature, K$	262.04	250.37	262.04
$Times, s$	420	420	231
$NASA\ IRT\ case\ No$	403	405	308

a) Case A ($V_\infty = 102.8m/s$, LWC $= 0.55g/m^3$,   b) Case B ($V_\infty = 102.8m/s$, LWC $= 0.55g/m^3$,
MVD $= 20\mu m, T_\infty = 262.04K, \alpha = 4°$)   MVD $= 20\mu m, T_\infty = 250.37K, \alpha = 4°$)

266

c) Case C ($V_\infty = 102.8m/s$, LWC $= 1.0g/m^3$, MVD $= 20\mu m$, $T_\infty = 262.04K$, $\alpha = 4°$)

267

268   **Fig. 8 Predicted shapes of ice compared with those of the NASA IRT cases [43]**

269   그림 속 [41] -> [43]

270

271   **III. Results & Discussion**

272   In this section, the design optimization process is applied to an actual problem. For reference geometry, CRM

273   65, which is 65 % scaled from the common research model (CRM) [44], is used, and CRM 65 is similar in size to

274   the B757-200. A 2D full-scale airfoil can be obtained in the vertical cross-section of the leading edge of the CRM

275   wing. The position of the cross-section is spanwise 60 %, corresponding to the outboard wing section. The length

276   of the full-scale airfoil is 3.07m and the tailing edge of the airfoil, where the twist angle exists, is positioned at

277   y=0. This airfoil is used in all parts of this section as a full-scale airfoil.

278   The remainder of this section is organized as follows: In the next section, the overall optimization design process,

279   applied to the icing condition as listed in Table 2, is presented. Second, the necessity of matching the distributions

280   of heat-transfer coefficient and collection efficiency is presented. Third, the optimization results are analyzed to

281   show the benefits of allowing separation. Finally, the results of the hybrid airfoil flap design are presented,

282   demonstrating the validity of the design method.

283

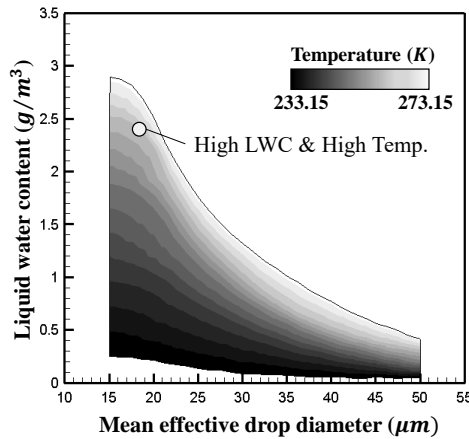284   **A.   Results of the design process**

285   The purpose of this study is to present a general method for designing compact hybrid airfoil, which can be

286   applied to glaze ice conditions with high LWC and temperature. In consideration of the purpose, the glaze ice

287   condition listed in Table 2 (in the FAR Part 25 Appendix C intermittent maximum condition) is chosen, as shown

288   in Fig. 9. The ice condition is condition where a critical ice horn occurs and is selected to show that it is possible

289   to design a hybrid airfoil through the method presented herein, even under critical conditions. For numerical

290   analysis, an O-type grid is used and all hybrid airfoils consists of 396 surface grids with 0.0001 spacing on the

291   leading edge to make it sufficiently dense.

292   **Table 2 Test case for hybrid airfoil design**

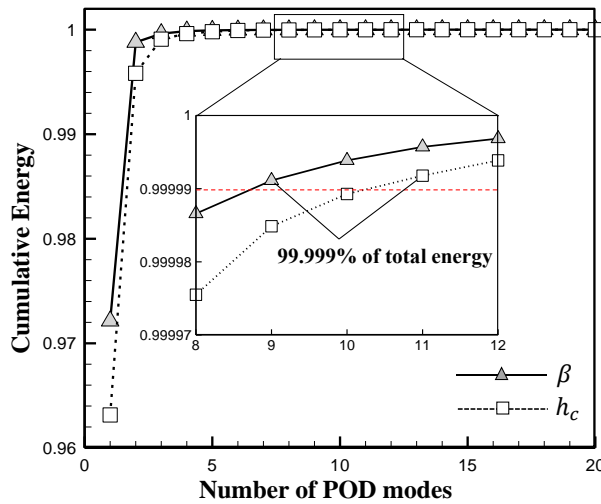| Parameter	Value
α, deg	2
$V_\infty, m/s$	102.8
$Times, s$	960
$LWC, g/m^3$	2.4
$MVD, \mu m$	18
$Temperature, K$	263.15

293

294   **Fig. 9 Test cases on FAR 25 Appendix C [2]**

295   ICEPAC is used to predict the ice shape and icing limit of a full-scale airfoil to determine the leading-edge extent

296   for the hybrid airfoil. The design space of the aft section is defined as having a chord length of 0.5 ~ 2 m and the

297   thickness should not exceed the full-scale airfoil thickness. Using OLHS, 70 initial sample points for seven design

298   variables are selected to construct the ROM. From the sampling points, the distributions of β and h_c, which

299   comprises outputs from 196 nodes on the leading-edge extent, are calculated using KFLOW and ICEPAC. Then

300   the POD method is implemented on the data to determine the POD modes required to accurately represent the

301   snapshots (β and h_c). Through the eigenvalue of modes ($i = 1, ..., N_p$), the ratio of each mode to the total energy

302   can be formulated as follows:

$$E_j = \frac{\lambda_j}{\sum_{i=1}^{N_p} \lambda_i}$$

(8)

303   Figure 10 shows the cumulative energies that the modes occupy, which are arranged from the value with the

304   largest energy ratio. In this study, the desired cumulative energy is set 99.999% of the total energy. It can be

305   confirmed that only nine and eleven modes (basis vector) are required for β and h_c, respectively, to depict the

306   set cumulative energy. Three of the POD modes, which have the largest energy ratios of β and h_c are presented

307   above leading-edge extent (x/c_0 = -0.016 – 0.008, c_0: full-scale airfoil chord), as shown in Fig.11. In the case of

308   POD mode 1, the energy ratios of β and h_c are estimated to be approximately 97.2 % and 96.3 %, respectively,

309   as shown in Fig. 10. It is expected that they best represent the tendency of the snapshots. It can also be confirmed

310   from Fig. 11 that POD mode 1 best describes the general β and h_c shapes. POD modes 2 and 3 are expected to

311   be used to adjust the overall variance, including moving POD mode 1 left and right. The POD coefficients are

312   obtained by projecting the snapshots onto the POD modes. Then, the POD coefficients are interpolated on the

313   design surface by kriging. For the stagnation point location, one scalar data point is interpolated.

314

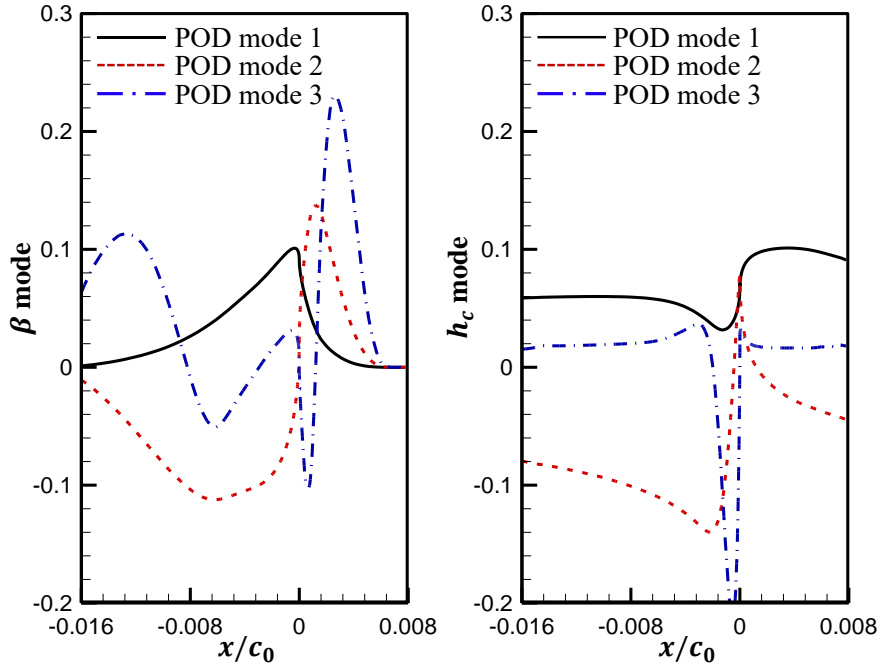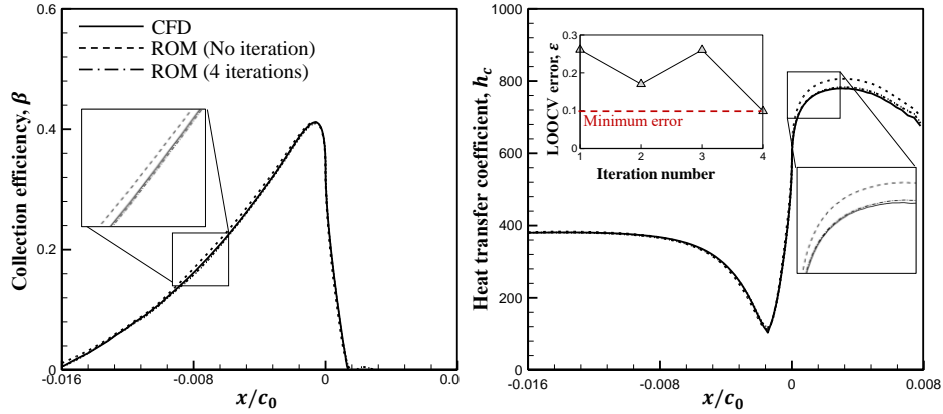315   **Fig. 10 Cumulative energy of the number of POD modes**

316

Fig. 11 POD modes of the β (left) and h_c(right)

Next, leave-one-out cross-validation (LOOCV) is used to evaluate ROM accuracy. The LOOCV error is calculated by removing one snapshot from the whole snapshot, creating a ROM, and calculating the difference between the predicted value (prediction by ROM) and the original value (CFD solution) at the point, as follows:

$$LOOCV \; \varepsilon = \varepsilon_1 + \varepsilon_2$$

$$\varepsilon_1 = \left\| U_{CFD,\beta} - V_{ROM,\beta} \right\|_2, \;\; \varepsilon_2 = \left\| U_{CFD,h_c} - V_{ROM,h_c} \right\|_2$$

(9)

The LOOCV error (ε) is the sum of the LOOCV errors of β and h_c, which are the values at the optimum values obtained from the ROM, and each parameter is normalized. Until the LOOCV error (ε) satisfies the minimum error (0.1), sample points are repeatedly added. The adaptive sampling presented in the Appendix is used to determine additional sample points which are the largest values from the formulation ($MMSE(x) = \varphi(x)^\rho \sigma_n^2(x), \; \varphi(x) = \varepsilon_{LOOCV}(\beta) \times \varepsilon_{LOOCV}(h_c)$). GA is used to find the largest value and seven sample points are added in each iteration. For the weight function (ρ), 0 is used for 2 points, 1 is used for the other two points, and 3 is used for the other two points. The optimum point of the ROM is selected as the last sample point.

330

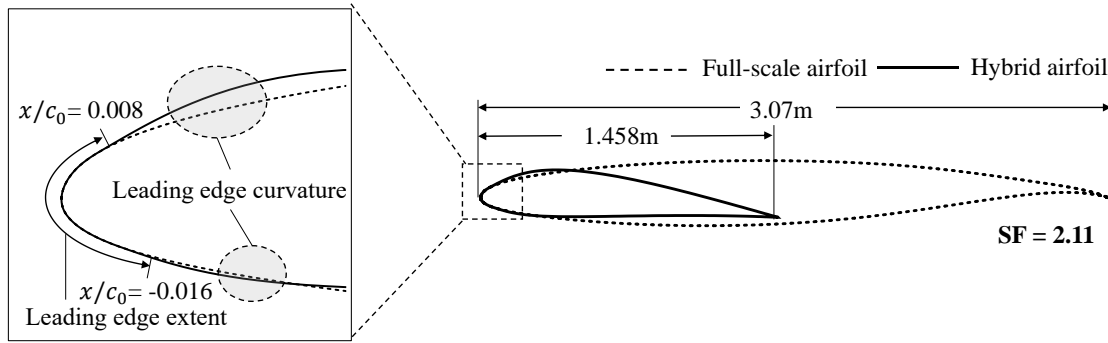**Fig. 12 Change of LOOCV error, β and h_c after 3 iteration through adaptive sampling,**

($V_\infty = 102.8 m/s$, $\alpha = 2°$, LWC = $2.4 g/m^3$, MVD = $18 \mu m$, $T_\infty = 263.15 K$)

Figure 12 shows the change in LOOCV error (ε), β, and h_c values predicted from ROM for one initial sample

point where the error was large, after repeating the adaptive sampling a total of four times. It is confirmed that the

difference between the CFD and ROM values of β and h_c decreases, respectively and the LOOCV error (ε)

satisfies the minimum error (0.1) with four iterations. Therefore, the kriging model is assumed to converge after
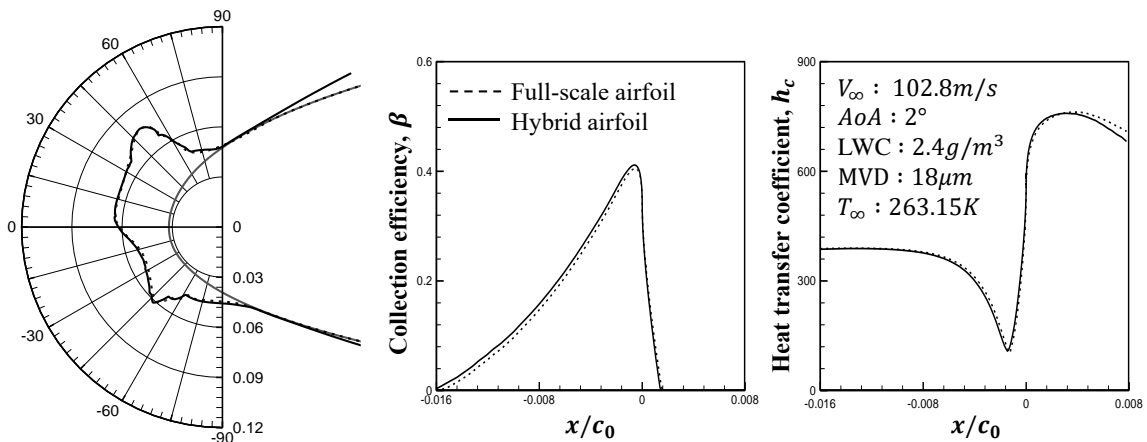
four refinement iterations, with 28 added sample points.

Once the kriging model converges, an optimal solution is obtained. The minimum errors ($\varepsilon_{min,\beta}$, ε_{min,h_c}) are set

to 0.02, and optimization is performed via GA. From the optimization results, the geometries, ice shapes, and

distributions of β and h_c of the full-scale and hybrid airfoils are presented in Fig.13. Note that all of the values

of the hybrid airfoil are in good agreement with the values of the full-scale airfoil.

The hybrid and full-scale airfoils have chord lengths of 1.458 m and 3.07 m, respectively. The hybrid scale

factor is 2.11, which indicates that the hybrid airfoil chord is more than twice as short as the full-scale airfoil

chord. The thickness decreases from 0.352 to 0.273 m, which is an important factor in determining the collection

efficiency [19]. In this study, the hybrid airfoil has smaller leading-edge curvature than that of the full-scale airfoil,

so the leading-edge thickness is larger than that of the full-scale airfoil. Therefore, although the total thickness of

the hybrid airfoil is smaller than that of the full-scale airfoil, an accurate distribution of β can be obtained owing

to the influence of the leading-edge thickness. This is important because if the thickness increases to obtain a

similar full-scale β, it will be difficult to make a shorter hybrid airfoil owing to the large separation, which results

350   from the increased curvature of the aft section.

a) Geometries of hybrid airfoil and full-scale airfoil

351   b) Comparison of ice shape (left), collection efficiency (middle) and heat transfer coefficient (right)

352   **Fig. 13 Comparison of geometries, ice shapes, collection efficiency and heat transfer coefficient of hybrid**

353   **airfoil and full-scale airfoil**

354   The leading-edge curvature is designed considering all the geometric effects of the hybrid airfoil to match

355   distributions of not only full-scale β but also full-scale h_c. The necessity of comprehensively considering β

356   and h_c is explained in detail in the next section.

357

358   **B.   The necessity of matching the distribution of collection efficiency and heat transfer coefficient**

359   In this study, to design a compact hybrid airfoil, which can be applied in glaze ice conditions with high LWC

360   and temperature, the full-scale stagnation point location, as well as the distributions of full-scale β and h_c, are

361   directly matched. To demonstrate the necessity of each parameter, three cases are selected as listed in Table 3.

362   Only the stagnation point locations are matched for the first case, and the stagnation point locations and

363   distributions of β are matched for the second case, and stagnation point locations and distributions of β and h_c

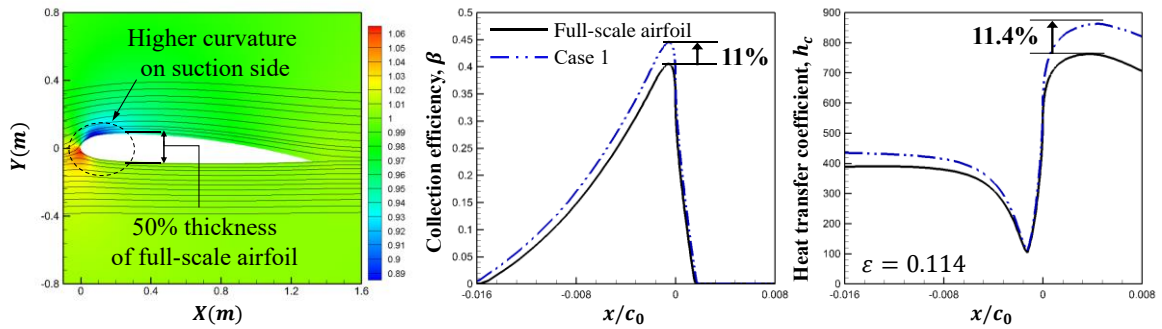364   are matched for the third case. In each case, the hybrid airfoil has a scale factor of 2 or more.

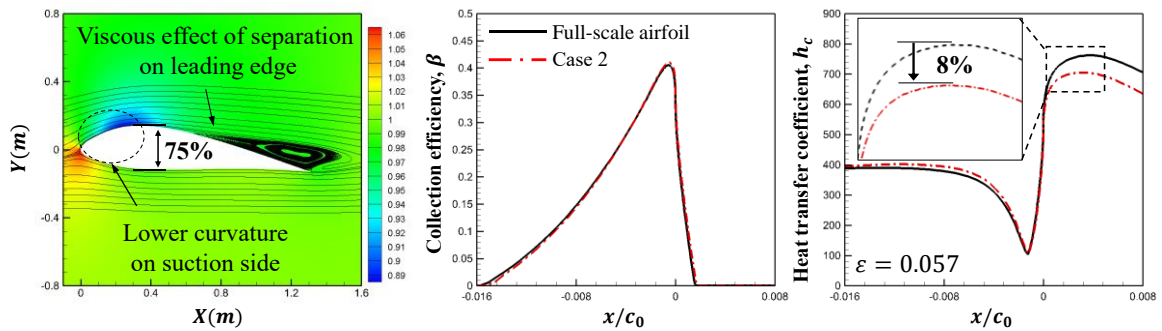365   **Table 3 Test cases**

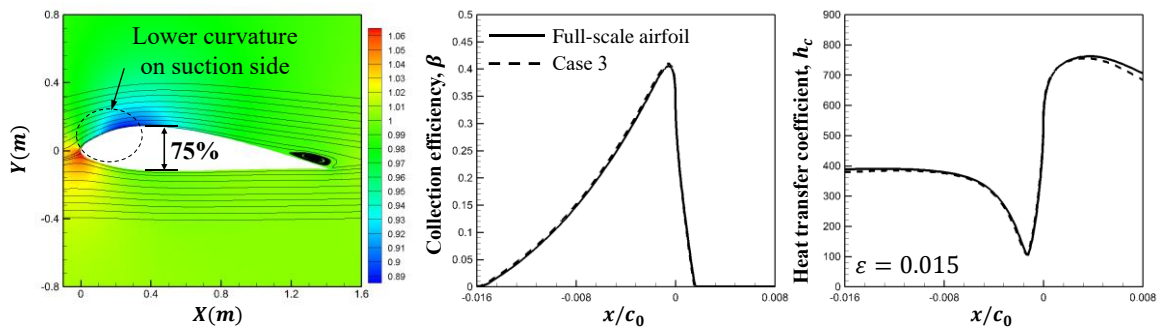| Case number	1	2	3
Stagnation point	Match	Match	Match
Distribution of β	No match	Match	Match
Distribution of h_c	No match	No match	Match

366

367   A comparison of the flow fields, distributions of β and h_c between the full-scale and hybrid airfoils of the

368   cases are presented in Fig.14. In case 1, the thickness of the hybrid airfoil is 50% of the full-scale thickness.

369   Therefore, β of the hybrid airfoil presents an 11 % higher peak of full-scale β and the suction side of hybrid

370   airfoil has a larger curvature than that of the other hybrid airfoils. A high flow velocity is formed on the suction

371   side of the airfoil, which affects the boundary layer and increases h_c owing to the large curvature. As a result,

372   h_c on the leading-edge extent has an 11.4 % higher peak of full-scale h_c. In case 2, the thickness of the hybrid

373   airfoil is 75 % that of the full-scale thickness. However, as mentioned before, because the hybrid airfoil has a

374   smaller leading-edge curvature and larger leading-edge thickness than those of the full-scale airfoil, it is possible

375   to achieve the same distribution of full-scale β. h_c of the hybrid airfoil has an 8 % lower peak of the full-scale

376   h_c. This is because this case does not consider the variance of the distribution of h_c due to the different aft section

377   geometry including the leading-edge curvature and the viscous effect of separation. On the other hand, the hybrid

378   airfoil in case 3 has an 75 % thickness of full-scale airfoil, same with case 2, but it is designed considering not

379   only distributions of β but also h_c. Therefore, both distributions can be matched with those of the full-scale

380   airfoil.

a) Case 1 : Flow field (left), collection efficiency (middle) and heat transfer coefficient (right)

b) Case 2 : low field (left), collection efficiency (middle) and heat transfer coefficient (right)

c) Case 3 : Flow field (left), collection efficiency (middle) and heat transfer coefficient (right)

381

Fig. 14 Comparison of each case when matching stagnation point, stagnation point with distribution of β and stagnation point with distribution of β and h_c

Figure 15 compares an analysis of the ice shapes of the three cases with the full-scale ice shape. The ice shapes of each case are analyzed using the three methods. First is the different area ratio between the ice shapes of full-scale and hybrid airfoils, which are different ice s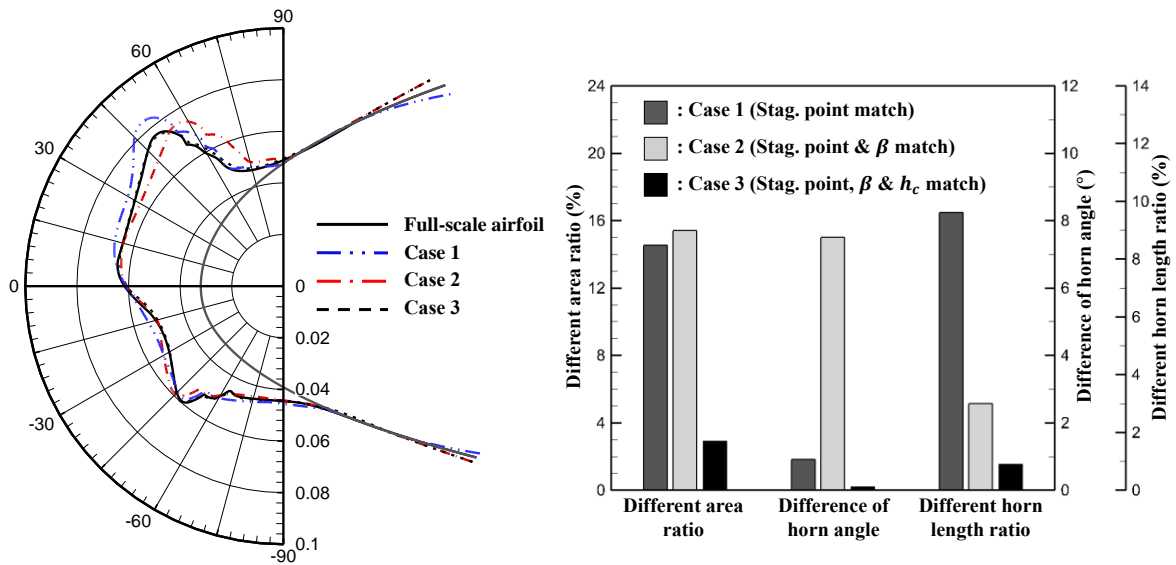hape areas divided by the full-scale ice area. Second, the angle difference of the ice horns is calculated. Finally, the difference in the length of the ice horn divided by the full-scale ice horn length is defined. The angle and length of the ice horn are calculated by the leading-edge center of the full-scale airfoil.

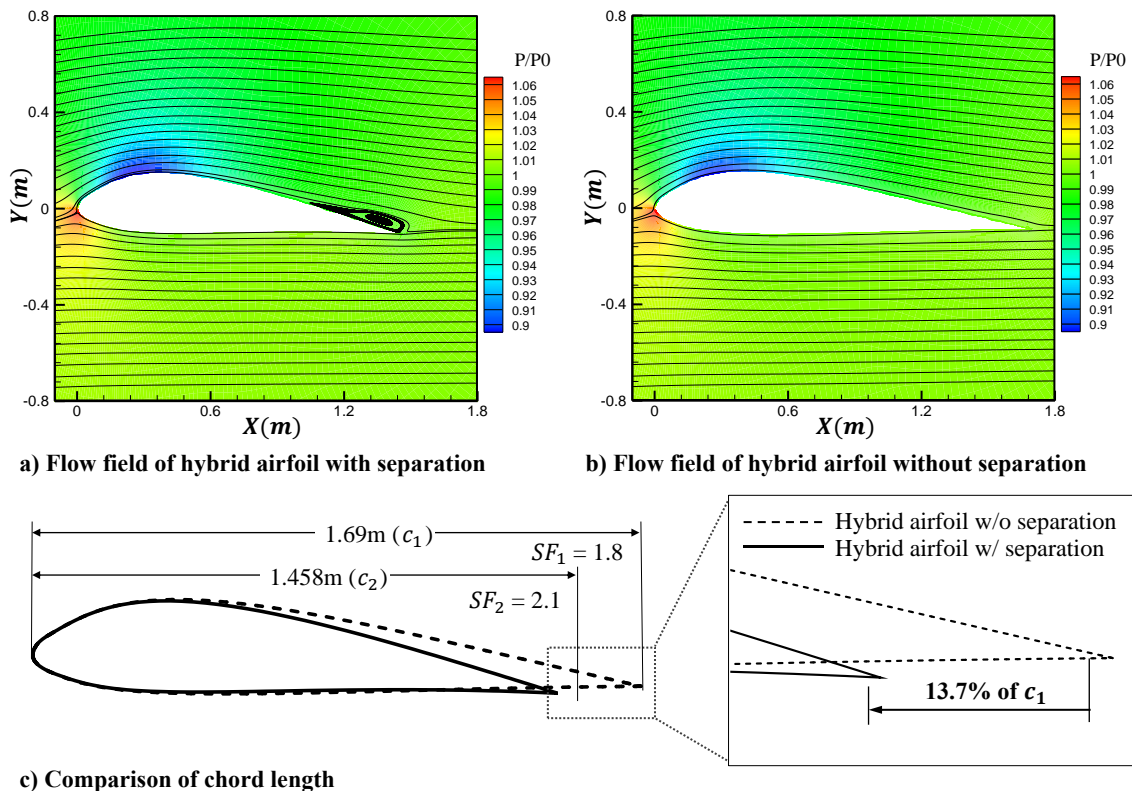**Fig. 15 Radial ice shapes (left) and ice shape analysis (right) for cases**

In case 1, no specific difference in horn angle is observed because both distributions of β and h_c are over-predicted compared to those of the full-scale airfoil. However, significant differences are observed at different area ratios and horn length ratios of, 14.53 % and 9.6 %, respectively. In case 2, it is confirmed that the ice accretes less on the leading-edge side and the remaining water runs back, so the ice horn is formed at a different angle from the full-scale ice horn. Different area ratios and differences of horn angle, 7.5 ° and 15.4 %, respectively are observed. This is because the distribution of β is matched with full-scale β but h_c has a lower peak than full-scale h_c. On the other hand, the ice shape of case 3 is in good agreement with the full-scale ice shape. It can be confirmed that case 3 is highly accurate in all aspects, including a different area ratio of 3% or less. The results indicate that considering all parameters simultaneously is required to improve the performance of the hybrid airfoil in this case.

The icing wind tunnel test is performed using a hybrid airfoil instead of a full-scale airfoil and hence the ice shape of the hybrid airfoil must have sufficient reliability. For more reliable test results, it is necessary to minimize the error in the numerical analysis. Therefore, the method of matching not only the full-scale stagnation point location but also the distributions of full-scale β and h_c are highly recommended for glaze ice conditions with high LWC and temperature.

C.   Effects of relaxing the constraint of separation

409   In this study, a viscous turbulent CFD-icing simulation is used to relax the separation constraint. This section

410   presents the difference between chord lengths of a hybrid airfoil when designed to allow separation and when

411   designed to apply a separation constraint. Both airfoils are designed using the same design process, with the same

412   constraint values, except for allowing separation. The flow fields are shown in Figs. 16 (a) and (b). It can be

413   confirmed that the hybrid airfoil can be designed even though separation occurs by allowing separation.

414   A comparison of the hybrid airfoil geometries is shown in Fig. 16 (c). The hybrid airfoil applying the separation

415   constraint has a 1.69 m chord and the hybrid airfoil allowing separation has a 1.458m chord. This is the result of

416   reducing the 1.69 m hybrid airfoil by approximately 13.7 %. The hybrid scale factor also increases from $1.8 - 2.1$.

417   The result indicates that more compact hybrid airfoil can be designed by relaxing the constraint. Furthermore, it

418   is also possible to eliminate unnecessary processes to check the occurrence of separation.

a) Flow field of hybrid airfoil with separation   b) Flow field of hybrid airfoil without separation

c) Comparison of chord length

419

420   **Fig. 16 Comparison of the flow field and chord length of hybrid airfoil designed with and without**

421   **separation ($V_\infty = 102.8 m/s$, $\alpha = 2°$, LWC $= 2.4 g/m^3$, MVD $= 18\mu m$, $T_\infty = 263.15 K$)**

D. General optimization design method for flap geometry

Single-element hybrid airfoil that can be applied to only one angle of attack has the advantage of a relatively simple hybrid airfoil geometry definition. However, for practical application, it is desirable to design the hybrid airfoil to cover every angle of attack. Through a flap, it is possible to design a hybrid airfoil that can be applied to multiple angles of attack. The use of a flap can reduce the size of separation [17] and the occurrence of unsteadiness due to separation. In this study, a slotted flap generally, which is generally used to reduce separation, is selected. The geometries of the main section and flap are designed using the optimization design process presented earlier.

The purpose of this section is to design the hybrid airfoil with a slotted flap to be applied to angles of attack (α) from 0 to 5 °. The single-element hybrid airfoil is designed as a reference geometry for flap design at an angle of attack of zero. The leading-edge extent of the single-element hybrid airfoil includes all ranges of ice accretions that occur from $\alpha = 0$ ° to $\alpha = 5$ °. Then, the aft section is designed to minimize the chord. The main section and flap from the single-element hybrid airfoil are designed using the same design process presented in Fig. 3. Using OLHS, 70 initial sample points for seven design variables are selected, and the ROM of β and h_c is constructed. Based on the ROM, the optimization is conducted with the following formulation.

$$\text{minimize} \quad \sum(\varepsilon_{\beta(x)} \times \varepsilon_{h_c(x)}) \tag{10}$$
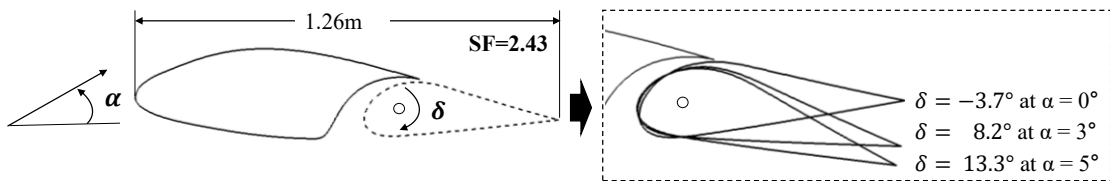
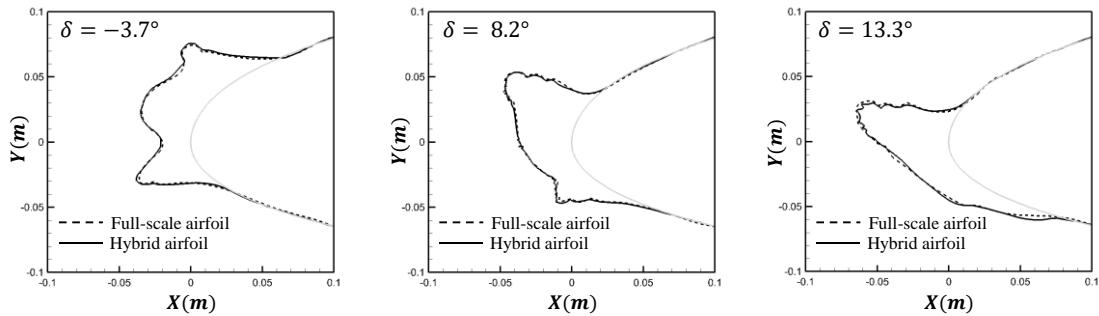$$\text{subject to} \quad x \in \Omega \tag{11}$$

where

$$\varepsilon = \left.\int_{LE}\left|U_{full} - V_{hybrid}\right| ds \middle/ \int_{LE} U_{full} ds\right. \quad (U, V \in \mathbb{R}^{N_p}) \tag{12}$$

The objective function is to minimize the sum of the error ($\varepsilon_{\beta(x)} \times \varepsilon_{h_c(x)}$), which are calculated at $\alpha = 0$ °, 3 °, and 5 °. The error is the value obtained from the optimal flap deflection angle (δ), which is adjusted, for each geometry. The deflection angle is calculated from the reference geometry in the counterclockwise direction. The constraint is that the design variables must exist in the region (denoted as Ω) where unsteadiness does not occurs as presented previously.
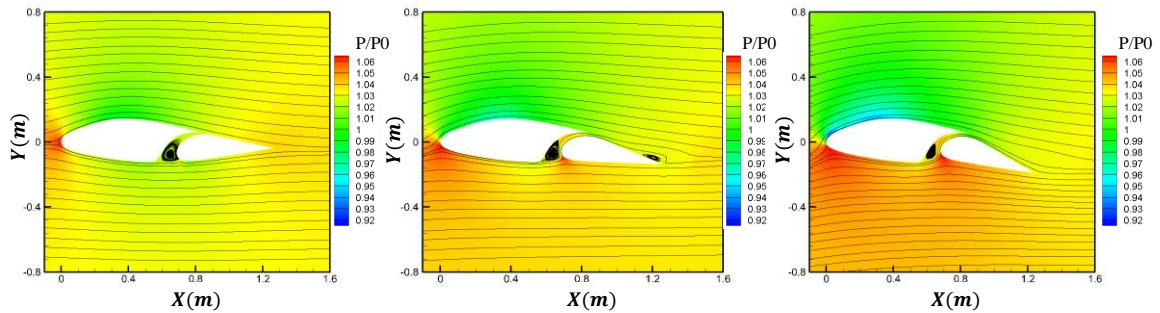
442   Figure 17 shows the geometry of the flaps, ice shapes and flow fields of the optimal hybrid airfoil at $\alpha = 0°, 3°$

443   and 5°. It can be seen that the main section and flap are obtained from the reference geometry. The deflection

444   angles are -3.7 °, 8.2 °, and 13.3 ° at $\alpha = 0$ °, 3 °, and 5 °, respectively. The ice shapes of the hybrid airfoils

445   are in good agreement with the full-scale ice shapes at each angle of attack; this is because the optimal flap and

446   main section are designed through optimization, considering all flow effects of those geometries, such as

447   separation. As a result, when the flap and the main section are designed using the general method presented in this

448   study, the ice shapes can be accurately matched with full-scale ice shapes even at multiple angles of attack.

449

a) Hybrid airfoil with single slotted flap (left) and flap deflection angle at each angle of attack (right)

b) Comparison of ice shapes at $\alpha = 0°$(left), $\alpha = 3°$(middle) and $\alpha = 5°$(right)

c) Flow field of hybrid airfoil at $\alpha = 0°$(left), $\alpha = 3°$ (middle) and $\alpha = 5°$(right)

450

451 **Fig. 17 Main section and flap geometry with deflection angle at $\alpha = 0°, 3°$ and 5°, ($V_\infty = 102.8m/s$,**

452 $\alpha = 2°$, $\text{LWC} = 2.4g/m^3$, $\text{MVD} = 18\mu m$, $T_\infty = 263.15K$)

453

IV. Conclusions

454

455   The purpose of the present study was to provide a general method for designing a compact hybrid airfoil that can

456   be applied to glaze ice conditions with high LWC and temperature. To improve accuracy, the full-scale stagnation

457   point location used in previous studies, as well as the distributions of full-scale collection efficiency (β) and heat

458   transfer coefficient (h_c), were directly matched. For computational efficiency, reduced-order modeling (ROM)-

459   based optimization was applied to match the distributions of full-scale β and h_c. The optimization design

460   process was applied to a glaze ice condition with high LWC and temperature. As a result of the thorough

461   investigation, the following conclusions were reached:

462   1)   By directly matching the stagnation point location and distributions of β and h_c with those of full-scale

463   airfoil, it was possible to design an optimal hybrid airfoil in glaze ice conditions, where a substantial amount

464   of water run-back occurs. The proposed approach simultaneously considers the variations in the distributions

465   of h_c and β as well as stagnation point location, owing to the effects of the aft section geometry, including

466   the leading-edge curvature and the separation. Therefore, in a glaze ice condition, the agreement rate of ice

467   shapes between the full-scale and hybrid airfoils could be significantly improved. The results indicate that

468   it is highly recommended to match the distribution of h_c as well as β to minimize the error between full-

469   scale and hybrid airfoil ice shapes to obtain more reliable results from icing tunnel tests for the glaze ice

470   conditions.

471   2)   By allowing separation, the hybrid airfoil obtained a more compact size than that designed without

472   separation. The hybrid airfoil has a condition in which separation is likely to occur because the aft section

473   of the hybrid airfoil is shorter than that of the full-scale airfoil. Therefore, designing the hybrid airfoil so

474   that separation does not occur is an excessive constraint on the design space. In this study, a hybrid airfoil

475   can be designed with separation by viscous turbulent CFD icing simulations. In addition, the constraint on

476   unsteadiness was set. When applied to the icing condition, the hybrid airfoil could be designed as 11% more

477   compact than the hybrid airfoil designed without separation.

478   3)   Through the presented optimization design process, the ice shape of the hybrid airfoil with the flap yielded

479   good agreement with the full-scale ice shape at various angles of attack. From the single-element hybrid

480   airfoil, the main section and flap were defined using five design variables. From ROM based optimization,

481   the optimal flap and main section geometries were obtained by minimizing the difference in β and h_c

482   between full-scale and hybrid airfoils. As a result, the flap and main section were designed to generate

483   accurate full-scale ice shapes at each angle of attack.

484   The present study is limited to the design of a hybrid airfoil in conventional supercooled droplet case and no

485   heating mode. Further study on the effects of supercooled large droplet (SLD) defined in Appendix O and the

486   presence of anti-icing system is needed. In addition, the method of matching the distribution of parameter through

487   ROM needs to be applied and extended to design a 3D hybrid wing. We hope to report the investigation of these

488   subjects in the future.

489

490 # Appendix: Adaptive sampling

491   It is widely known that the quality of surrogate model-based approximations such as ROM is critically affected

492   by snapshots. In particular, the POD-based ROM, which comprises a linear combination of basis vectors, cannot

493   offer accurate reduced-order solutions when there is a nonlinear problem or insufficient information of snapshots.

494   To solve this problem, an adequate number and location of snapshots should be chosen. For computational

495   efficiency, it is necessary to create a sufficiently accurate model for as few snapshots as possible. However, it is

496   difficult to know in advance how many snapshots are needed and the best snapshot locations. Therefore, a method

497   that continuously adds snapshots using the information obtained from the previous model is required.

498   In this study, the adaptive sampling method [32], which can use the leave-one-out cross-validation (LOOCV)

499   error and snapshot variance, is adopted as a method of adding snapshots. Among them, the maximum mean

500   squared error (MMSE) approach, where LOOCV error and normalized predictive variance can be expressed in

501   one score function is used; this is formulated as follows:

$$MMSE(x) = \varphi(x)^\rho \sigma_n^2(x), \ \varphi(x) = \varepsilon_{LOOCV}(\beta) \times \varepsilon_{LOOCV}(h_c) \tag{A1}$$

where

$$\varepsilon_{LOOCV}^i = \left\| U_{CFD}^i - U_{ROM}^i \right\|_2 \ , \ U \in \mathbb{R}^{N_p}, i = 1, \dots, N_s \tag{A2}$$

502   ρ is used as a weight factor to adjust the ratio of the LOOCV error and the normalized predictive variance in the

503   system.

504    $\varphi(x)$ represents the LOOCV error and the value is calculated by multiplying the LOOCV errors of β and h_c.

505 The Voronoi cell is applied to all domains and the LOOCV error corresponding to the sample point is used for the

506 Voronoi region associated with the point. The LOOCV error contains information about the deviation of the

507 surrogate model. If the region has a large LOOCV error, it can be judged that a nonlinear feature occurs. To reduce

508 error, local exploitation is recommended in such regions through the weight factor.

509 $\sigma_n^2(x)$ is a value indicating the normalized predictive variance of x, which is the point in the input domain. The

510 specific formulation can be found in reference to [32]. The normalized predictive variance is the quantified value

511 of closeness from all other sample points. Therefore, if a particular point shows a large variance value, it can be

512 determined that it is "under-sampled" in the current input domain. By adding new sample points with global

513 exploration, this variance can be reduced.

514

518

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